



NC STATE

THINK AND DO
THE EXTRAORDINARY

Administrative Leadership Meeting

Randy Woodson
Chancellor

Tuesday, July 13, 2021

Upcoming ALMs

September 14	Post COVID-19 Innovation Task Forces	Titmus
November 9	North and Central Campus Electrical Distribution System Upgrade	Titmus

Class of 2020 Commencement

- Saturday, August 28 in Reynolds Coliseum
- Event will be livestreamed
- Further updates will be shared on the Commencement [website](#)



Student Success



- Astronaut Scholar – Noah Wolfe



- Churchill Scholar – Nikhil Milind

Questions?



Office of General Counsel Update to ALM

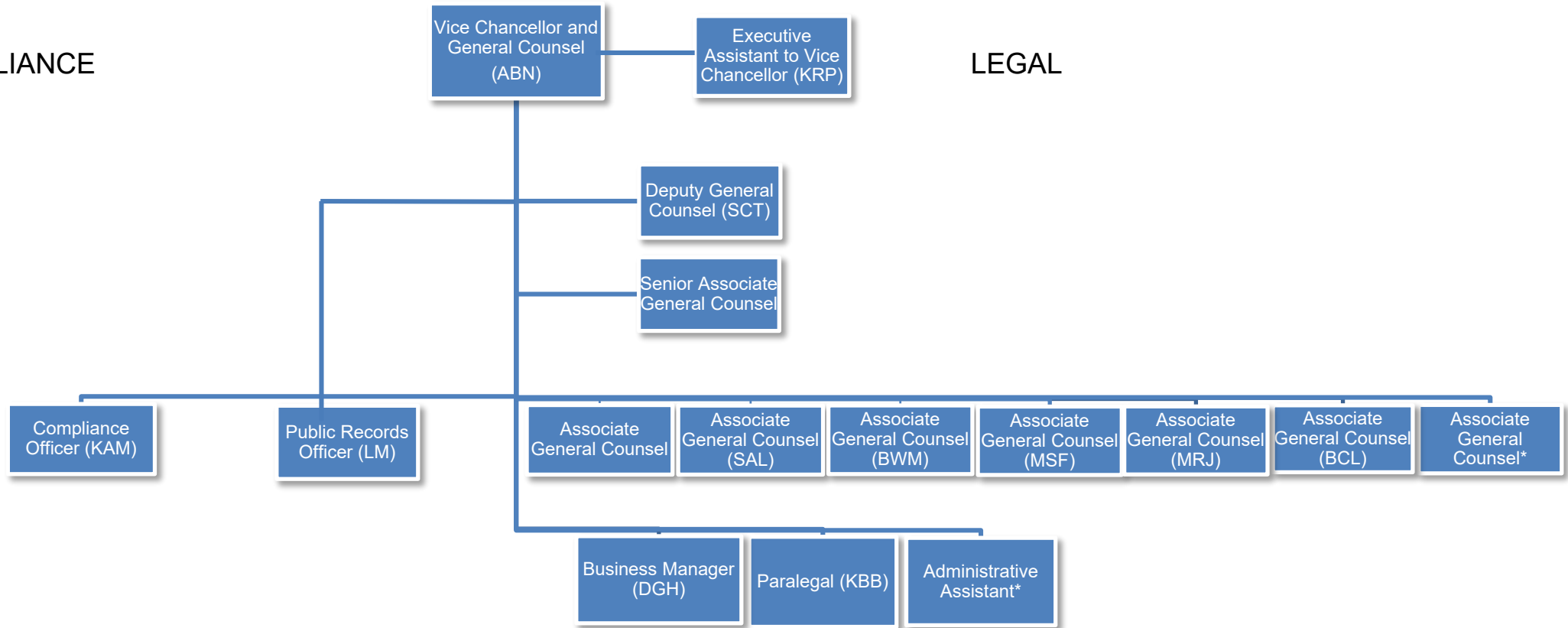
Allison B. Newhart
Vice Chancellor and General Counsel
July 13, 2021

Office of General Counsel at NC State

- We focus on providing mission-oriented counsel and representation on a wide range of matters affecting the university community.
- NC State's land grant mission: As a research-extensive land-grant university, North Carolina State University is dedicated to excellent teaching, the creation and application of knowledge, and engagement with public and private partners. By uniting our strength in science and technology with a commitment to excellence in a comprehensive range of disciplines, NC State promotes an integrated approach to problem solving that transforms lives and provides leadership for social, economic, and technological development across North Carolina and around the world.

COMPLIANCE

LEGAL



Office of General Counsel Organizational Chart

Office of General Counsel: Many Roles

Rule of Professional Conduct 2.1: May refer not only to law, but also to moral, economic, social, political factors that may be relevant to the client's situation.

- Legal advice
- Strategic advice
- Risk Management
- Business advice
- Compliance
- Committees
- Preventive strategies/Best practices
- Crisis management
- Communication

Practice Areas

- Employment
- Faculty/Academic Affairs
- Student Affairs
- Athletics
- Compliance
- Contracts – Events, Purchasing, etc.
- Real Estate
- Policies, Rules and Regulations
- Lawsuits, subpoenas
- Public Records
- Ethics, Governance and Board of Trustees

Employment and Civil Rights

Sarah Lannom
Associate General
Counsel

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Student Affairs

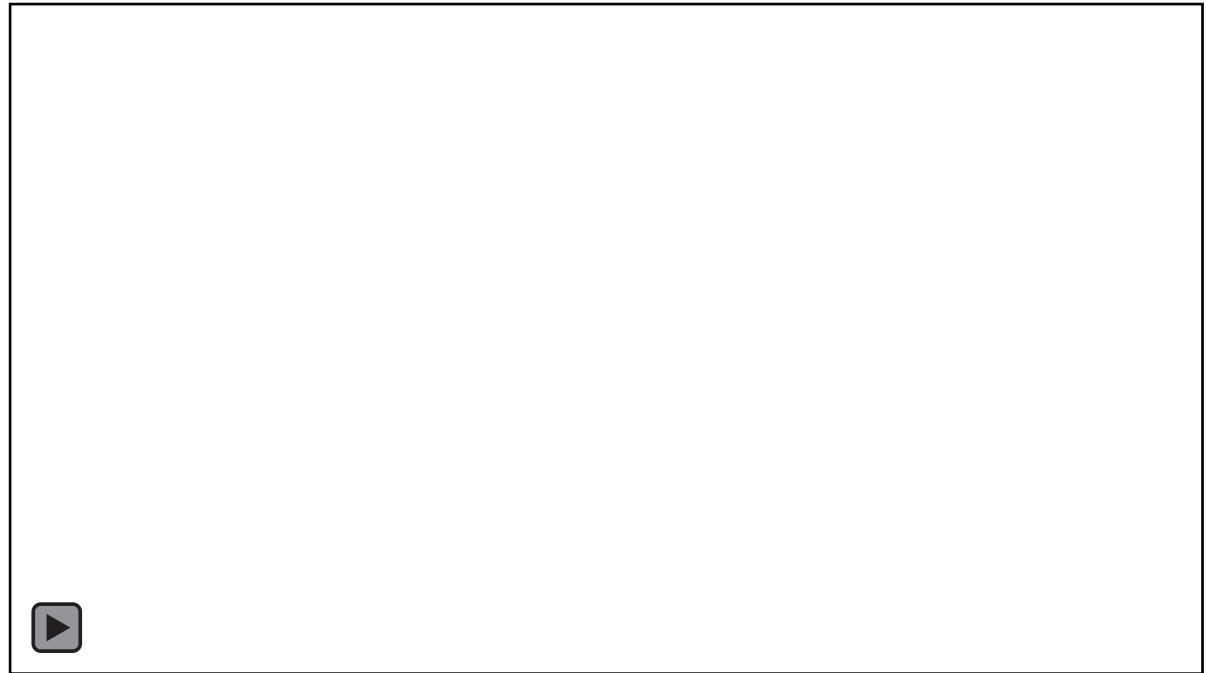
This year, OGC's involvement included:

- Title IX
- Student Conduct
- Behavior Assessment Team
- Admissions and student academic issues

Student Affairs

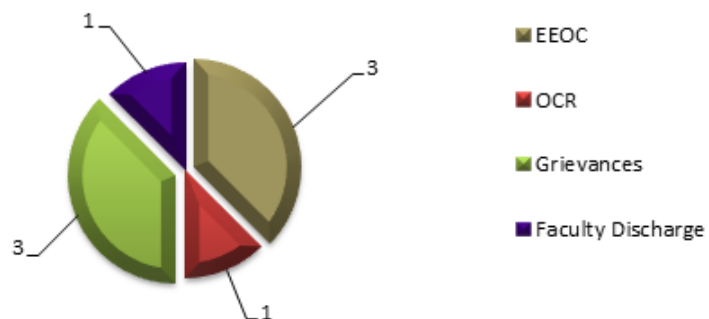
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Associate General
Counsel

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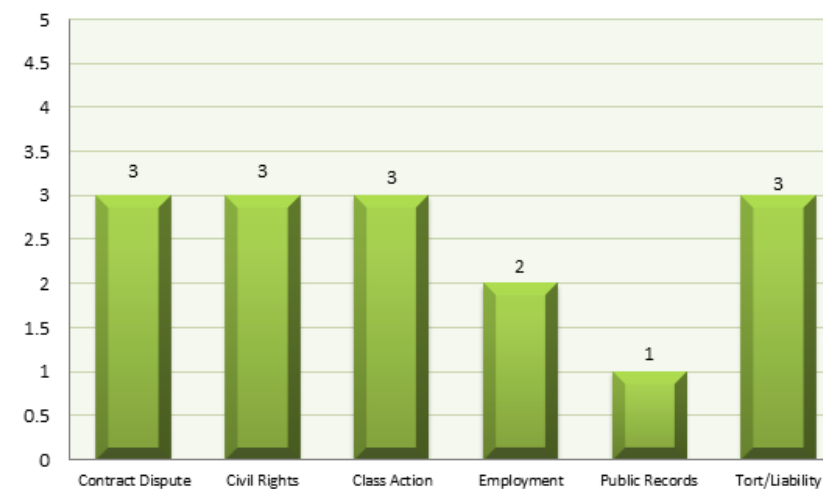


Litigation: Cases

Administrative Proceedings by Plaintiff



Litigation Cases by Type



Research/Regulatory

- Sponsored programs
- Grants and contracts
- Research misconduct
- Regulatory compliance

Research/Regulatory

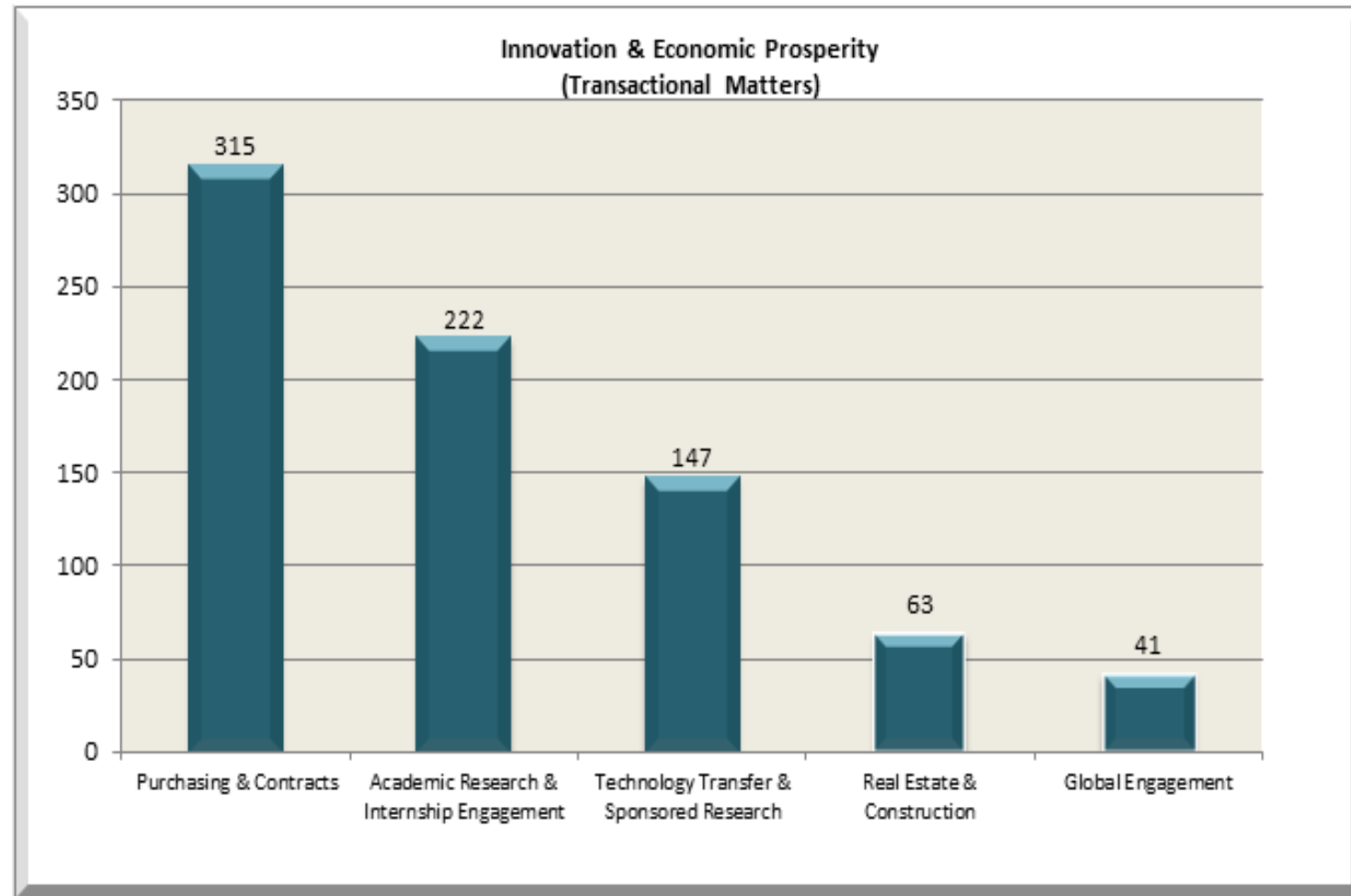
Mike Jung

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Transactional Matters



Transactional Matters

Brent McConkey
Associate General Counsel
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Real Estate

Michael Fausnight
Associate General Counsel

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Public Records and PRRs

- <https://policies.ncsu.edu/>
 - 33 policies/regulations revised
 - 3 new regulations
 - 3 regulations repealed
- Public Records Requests
 - FY19-20: 220 requests last year
 - FY20-21: 279 requests this year
- Creation and maintenance of records
- Records retention and disposition schedule
- Electronic records management

Ethics, Governance, and Board of Trustees

- State Ethics Liaison: Allison Newhart
 - Monitor all gift and lobbying restrictions
 - State ethics training and disclosures
- Conflicts of interest and commitment
- Board governance issues
- Trustee legal questions
- Open meetings laws and process

Athletics & OGC: Significant Collaboration

- Alston v. NCAA: In June 2021, the U.S. Supreme Court ruled that the NCAA may not limit education-related benefits that institutions provide to student athletes
- Name, Image, Likeness (NIL): NCAA rules changed on July 1, 2021
- Compliance-related questions
- Licensing and transactional matters where legal questions arise
- Employment contracts and public records

COVID Legal Response Team

- Led by Shawn Troxler, Deputy General Counsel
- Collaborative approach to providing counsel and support for pandemic-related legal issues

COVID Legal Response Team

Shawn Troxler
Deputy General Counsel
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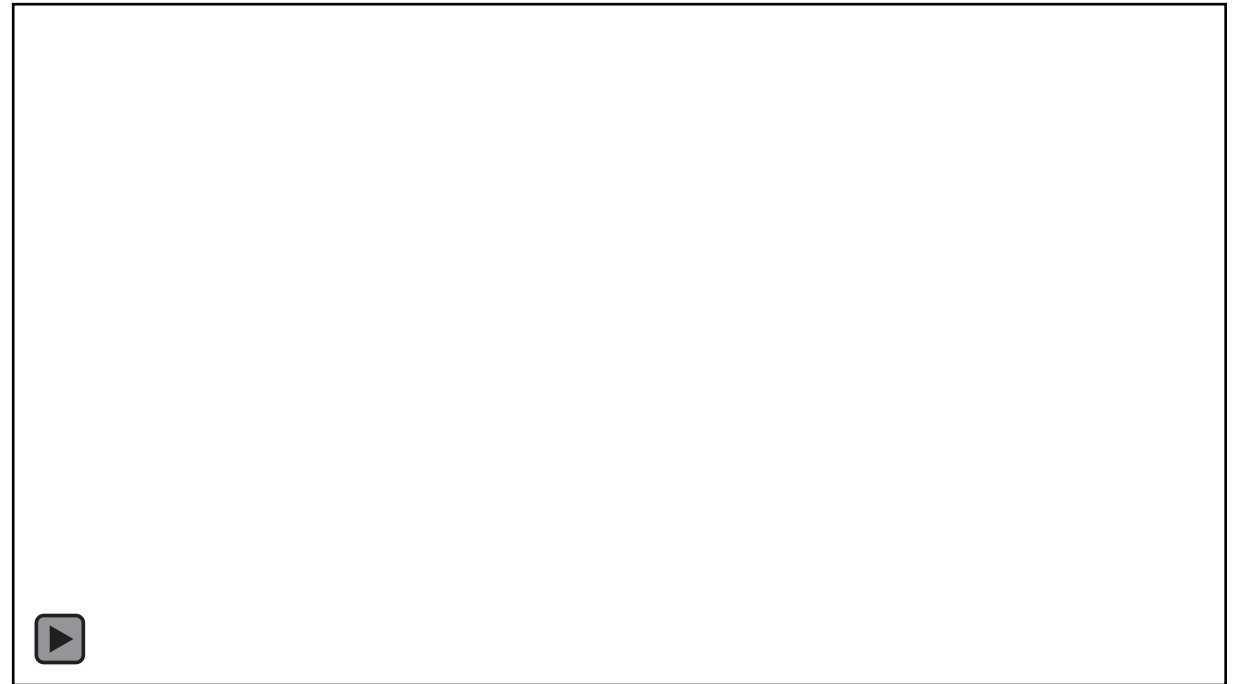


Compliance and Strategic Risk Management

- Separate, but related, programs through OGC:
 - Strategic Risk Management Program
 - Compliance and Integrity Program
- Both are university-wide collaborations
- Kristen Meeks, University Compliance, Enterprise Risk Management, and Ethics Officer
 - Advisory and oversight role

Strategic Risk Management

Kristen Meeks
University Compliance,
Risk Management, and
Ethics Officer
kameeks@ncsu.edu



Compliance and Integrity Program

- The University Compliance, Ethics, and Enterprise Risk Management Officer
 - coordinates initiatives and the efforts of specific individuals who provide leadership and accountability for compliance obligations.
 - manages the university's EthicsPoint hotline in coordination with the Internal Audit Division.
 - Promotes the University's values and strives to integrate these values into daily and long-term decisions and actions.
- Goal: enhance compliance consciousness in the campus community.
- <https://compliance.ncsu.edu>

Resources

- Website: <https://generalcounsel.ncsu.edu/>
- FAQs
- Contracts Library
- Records Retention & Disposition Schedule
- Areas of Practice